

33

Lollar, Danielle

From: Brinegar, Jennifer Hooker [jhooker@indiana.edu]
Sent: Thursday, April 20, 2006 8:59 AM
To: Greenspan, Richard I
Subject: RE:

You are the only one left to sign – let me know when I can bring it down.

Also, I confirmed with Coach Sampson that he has followed all of the telephone call restrictions since arriving at IU.

On another front, Melanie Roberts at Oklahoma has been "too busy" to work on the sale/distribution of her telephone auditing software "Comply and Verify," since that is a personal business venture and she has been very busy with her regular job getting ready for the COI hearing. She also wants to "test" it on another Big 12 institution, where she has a good friend, so I really don't know when or if we'll be able to purchase this software.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Greenspan, Richard I
Sent: Thursday, April 20, 2006 8:45 AM
To: Brinegar, Jennifer L
Subject:

Jen,

Has the compliance agreement been fully executed ?

RG

McCaw, Carrie

From: Brinegar, Jennifer Hooker [jhooker@indiana.edu]
Sent: Friday, June 30, 2006 8:06 AM
To: Pope, Christian Dean
Subject: FW: FW: Phone bills checking

Jamie said Teletron did shut down - I would suggest seeing if Sprint could check a list of numbers and see when and how often they were called (by each coach). Find out the pricing for such a service, if offered.

Jennifer Brinegar
Assistant Athletics Director - Compliance Indiana University
812-855-0451

-----Original Message-----

From: Jamie Brinegar [mailto:jamiebrinegar@hotmail.com]
Sent: Friday, June 30, 2006 8:03 AM
To: Brinegar, Jennifer L
Subject: RE: FW: Phone bills checking

Teletron has shut its doors. Yes, it expanded too fast.

1-4-3!

Jamie

>From: "Brinegar, Jennifer L" <jhooker@indiana.edu>
>To: <jamiebrinegar@hotmail.com>
>Subject: FW: Phone bills checking
>Date: Thu, 29 Jun 2006 23:46:44 -0400

>
>Do you know if Teletron is still in business? They don't have a number
>in the phone book. Thanks - love you!

>
>Jennifer Brinegar
>Assistant Athletics Director - Compliance Indiana University
>812-855-0451

>
>_____
>
>From: Pope, Christian Dean
>Sent: Thursday, June 29, 2006 4:33 PM
>To: Brinegar, Jennifer L
>Subject: RE: Phone bills checking

>
>
>Thanks.

>
>
>Christian
>
>Director of Compliance
>
>Indiana University

>
>812-856-6074

>
>Go Hoosiers!

>
>
>_____
>
>From: Brinegar, Jennifer L

>Sent: Thursday, June 29, 2006 4:32 PM

>To: Pope, Christian Dean

>Subject: RE: Phone bills checking

>

>

>

>Yes - I will check with Jamie - it may have gone out of business (I

>think it expanded too fast).

>

>Jennifer Brinegar

>Assistant Athletics Director - Compliance Indiana University

>812-855-0451

>

>

>

>From: Pope, Christian Dean

>Sent: Thursday, June 29, 2006 4:29 PM

>To: Brinegar, Jennifer L

>Subject: Phone bills checking

>

>

>

>Jennifer,

>

>

>

>I have searched in vain to find the phone review company you mentioned

>Wednesday at our meeting. I have in my notes that the name is

>"Teletron." Is that correct?

>

>

>

>Christian D. Pope

>

>Director of Compliance

>

>Indiana University

>

>1001 East 17th Street

>

>Bloomington, IN 47408-1590

>

>812-856-6074

>

>Go Hoosiers!

>

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**Survey of 2006-07 Phone Call Monitoring Practices
of NCAA Division I Football Bowl Subdivision (FBS) Institutions**

1. Have you required coaches to maintain phone logs?	
Number of Respondents	n=88
Yes	94.3%

2. Have you required coaches to submit phone logs to the compliance office for monitoring?	
Number of Respondents	n=88
Yes	85.2%

3. How often are the phone logs collected?	
Number of Respondents	n=88
Never	5.7%
Monthly	61.4%
Quarterly	10.2%
Annually	9.1%
Other	13.6%

4. Approximately what percentage of the phone logs are audited each year?	
Number of Respondents	n=82
None	19.5%
1%	7.3%
2%	7.3%
5%	6.1%
10%	24.4%
25%	13.4%
50%	4.9%
75%	3.7%
100%	13.4%

5. Have you collected and reviewed coaches' phone bills? Please identify all that apply.	
Number of Respondents	n=85*
None	44.7%
Office Phone Bills	45.9%
Cell Phone Bills	43.5%
Home Phone bills	2.4%

* Percentages do not add up to 100% as the 85 respondents could have selected multiple choices in 2006-07.

6. How often are coaches' phone bills collected?	
Number of Respondents	n=79
Never	44.3%
Weekly	0.0%
Monthly	39.2%
Quarterly	3.8%
Annually	12.7%

7. Have you cross-referenced phone bills against each recruit's phone number from the coaches' logs and/or a recruiting database of all known recruiting numbers?	
Number of Respondents	n=85
Yes	29.4%

8. Have you utilized a software program to cross reference the phone bills against the recruits' phone numbers?	
Number of Respondents	n=85
Yes	7.1%

9. Have you required coaches to sign a statement verifying which phone(s) are used for recruiting calls – whether office, cell and/or home?	
Number of Respondents	n=84
Yes	20.2%

10. What level(s) of staff person(s) was responsible for reviewing coaches' phone bills, if they were reviewed?	
Number of Respondents	n=81*
Not Reviewed	29.6%
Graduate Assistant	8.6%
Intern	17.3%
Clerical Staff	6.2%
Compliance Coordinator	37.0%
Director of Compliance	12.3%
Assistant Athletics Director	7.4%
Other	7.4%

* Percentages do not add up to 100% as the 81 respondents could have selected multiple choices in 2006-07.

11. What do you consider to be a “contemporaneous” monitoring system? Please identify below all phone monitoring systems that you consider to be “contemporaneous”?	
Number of Respondents	n=82*
Weekly review of phone logs or phone bills	28.0%
Monthly review of phone logs or phone bills	73.2%
Quarterly review of phone logs or phone bills	28.0%
Annual review of phone logs or phone bills	11.0%
Annual audit of phone logs or phone bills	15.8%

* Percentages do not add up to 100% as the 82 respondents could have selected multiple choices.

12. Please choose below the answer that identifies how many layers of review you have in your telephone monitoring system. For example, 1st layer: compliance staff reviews logs monthly; 2nd layer: compliance staff conducts year-end audit on a random sample of high profile recruits; 3rd layer: internal audit crosschecks a sample of recruits’ phone numbers against coaches’ office and call phones; 4th layer: additional.	
Number of Respondents	n=83
0 layers	3.6%
1 layer	32.5%
2 layers	39.8%
3 layers	21.7%
4 layers	2.4%

1/2212515.1

To: [Email]

From: chawley@bigten.org

Subject: NCAA Phone Call Monitoring Survey (5-10 minutes)

Body: Hi [FirstName],

As you are aware, the NCAA does not have standards for phone call monitoring. Following is a link to a brief survey that is intended to generate a better understanding of what Division I FBS-conference institutions have been and are currently doing to monitor compliance with NCAA phone call legislation. Specifically, your participation in this survey will assist with the identification of industry standards.

With many institutions currently enhancing their telephone monitoring systems, we are looking at a three-year snapshot in time (2006-2007, 2007-2008, and 2008-2009). Institutional responses will be kept confidential, while general results will be shared with the FBS membership. Completion of this survey will take 5-10 minutes. Thank you in advance for your willingness to help us better understand industry practices in this area.

Here is a link to the survey:

<http://www.surveymonkey.com/s.aspx>

This link is uniquely tied to this survey and your email address, please do not forward this message.

Thanks for your participation!

Chad Hawley, Assistant Commissioner
Big Ten Conference

If you wish to be removed from this email list, click here <http://www.surveymonkey.com/optout.aspx>.

To: [Email]

From: chawley@bigten.org

Subject: NCAA Phone Call Monitoring Survey (5-10 minutes)

Body: Hi [FirstName],

This email is a follow-up request to complete the NCAA Phone Call Monitoring survey linked below. We have received close to a 50% response rate already, but we need your institution's data to ensure that we are accurately representing past and present practices of the FBS membership in general. Please invest the 5-10 minutes today to help better inform the membership in this area. We plan to compile results tomorrow, with circulation of aggregate data to participating members early next week. Individual results will remain completely confidential.

Thanks again for your willingness to generate better information on what the FBS membership is doing in this important area of compliance monitoring.

Sincerely,

Chad Hawley
Assistant Commissioner for Compliance
Big Ten Conference

Here is a link to the survey:
<http://www.surveymonkey.com/s.aspx>

This link is uniquely tied to this survey and your email address, please do not forward this message.

If you wish to be removed from this email list, click here <http://www.surveymonkey.com/optout.aspx>.

1. Monitoring Phone Logs (check all that apply)- 4 questions

Have you required coaches to maintain phone logs?

- No
- Yes, in 2006-2007
- Yes, in 2007-2008
- Yes, in 2008-2009

Have you required coaches to submit phone logs to the compliance office for monitoring?

- No
- Yes, in 2006-2007
- Yes, in 2007-2008
- Yes, in 2008-2009

How often are the phone logs collected?

	2006-2007	2007-2008	2008-2009
Never	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Monthly	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quarterly	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annually	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Approximately what percentage of the phone logs are audited each year?

	2006-2007	2007-2008	2008-2009
None	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1%	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2%	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5%	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10%	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25%	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
50%	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
75%	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
100%	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. Monitoring Phone Bills (check all that apply)- 5 questions

Have you collected and reviewed coaches' phone bills? Please identify all that apply.

	2006-2007	2007-2008	2008-2009
None	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Office Phone Bills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cell Phone Bills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Home Phone Bills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

How often are coaches' phone bills collected?

	2006-2007	2007-2008	2008-2009
Never	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Weekly	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Monthly	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quarterly	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annually	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Have you cross-referenced phone bills against each recruit's phone number from the coaches' logs and/or a recruiting database of all known recruiting numbers?

- No
- Yes, in 2006-2007
- Yes, in 2007-2008
- Yes, in 2008-2009

Have you utilized a software program to cross reference the phone bills against recruits' phone numbers?

- No
- Yes, in 2006-2007
- Yes, in 2007-2008
- Yes, in 2008-2009

Have you required coaches to sign a statement verifying which phone(s) are used for recruiting calls- whether office, cell and/or home?

- No
- Yes, in 2006-2007
- Yes, in 2007-2008
- Yes, in 2008-2009

3. Monitoring System and Review (check all that apply)- 3 questions

What level(s) of staff person(s) was responsible for reviewing coaches' phone bills, if they were reviewed?

	2006-2007	2007-2008	2008-2009
Not reviewed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Graduate Assistant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Intern	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Clerical Staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Compliance Coordinator	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Director of Compliance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Assistant Athletics Director	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

What do you consider to be a "contemporaneous" monitoring system? Please identify below all phone monitoring systems that you consider to be "contemporaneous."

- Weekly review of phone logs or phone bills
- Monthly review of phone logs or phone bills
- Quarterly review of phone logs or phone bills
- Annual review of phone logs or phone bills
- Annual audit of phone logs or phone bills.

Please choose below the answer that identifies how many layers of review you have in your telephone monitoring system. For example, 1st layer: compliance staff reviews logs monthly; 2nd layer: compliance staff conducts year-end audit on a random sample of high profile recruits; 3rd layer: Internal Audit crosschecks a sample of recruits' phone numbers against coaches' office and cell phones; 4th layer: additional.

- 0 layers
- 1 layer
- 2 layers
- 3 layers
- 4 layers

4. SURVEY COMPLETE!!! THANK YOU!!!

35

Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Wednesday, October 25, 2006 4:08 PM
To: Brinegar, Jennifer L
Subject: RE: Weekly Update

Jennifer,

Here's my point by point.

1. I have documentation on file from all promotional activities to date except for the reading program and the Shalom soup kitchen. I asked Jerry to press those groups to get that information in to our office today. He will follow up with each school board and the soup kitchen for those forms.
2. All institutions that have responded to our requests for communication regarding the qualifying multi-team events have confirmed that the Precason NIT is the only one on their schedule. Please note that Rice & Belmont are both also competing in the St. Mary's Holiday Classic in Moraga, California. Per the bylaw (17.5.5.1.1), they are counting only the NIT as a QMTE and the classic will count as individual games within their remaining 27 allowed.
3. Grant communications will not sort the phone calls by number. They have given me access via computer to do a query which allows me to sort by number and then cross check the Cybersports records against that. This is cumbersome and slow in that the bill is broken down by month (i.e. July 26-August 25) and the breakdown is not easily toggled back and forth for future calls so I must exhaust my search within that month before moving to another instead of searching for all calls within Cybersports for a singular recruit.
4. I am cross checking the logs and there have been no "switching" between the coaches in terms of calls to recruits. Each month, each coach is submitting a statement that indicates which phones are being used for recruitment. Ray and Rob utilize their cell phone solely and Jeff uses his cell as well as his office phone on occasion. None utilize their home phones for recruiting calls.
5. I have Ryan assisting me in establishing a database (excel spreadsheet by sport) of automobiles for student-athletes. I will be sending out an email either tomorrow or Friday to the coaches informing them of the creation of a database and asking them to review it with their student-athletes to check for accuracy as well as to ask their students to report any new vehicles or changes during the term.
6. In this weeks supplement we covered playing and practice season miscellaneous. Specifically, we discussed the day off exception now available for basketball to take 2 days off either the week prior or post a week where three contests take place. We also discussed scheduling and the first contest date requirement of Bylaw 17.5.3 as well as qualifying multi-team events legislation review under bylaw 17.5.5.1.1. I will include the rules education supplement in the weekly updates from now on.
7. At this point, I provide Jerry not only his copy but copies of rules education materials to provide to the assistant coaches. Once we discuss the materials, he is asked to share, not just the paperwork but also to speak with the coaching staff regarding what has been covered in the weekly meetings. I will begin meeting with each individual assistant coach regarding the materials that are provided during that same week as most often the information is timely and their awareness of it is significant.

Thank you.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Wednesday, October 25, 2006 9:13 AM
To: Pope, Christian Dean
Subject: RE: Weekly Update

Thanks -- a couple of things I've been meaning to ask you about, but haven't connected with you yet this week:

1. Do we have documentation on file regarding all of MBB promotional activities -- reading in the schools and serving food on Friday at the community kitchen (or wherever they are serving)? Make sure academics is checking to ensure they aren't (or didn't) miss class to participate in either activity.
2. Have you confirmed that all of the NIT participants are using the NIT as their QMTE?
3. How is the phone bill situation working out with the carrier -- are they able to sort by number called?
4. Are you cross checking phone bills between coaches and between different carriers (cell, office, home)?
5. Can you get a list of automobiles that the student-athletes are driving and confirm that all are legit?
6. In your weekly report, can you detail exactly what supplemental materials you have prepared for your meetings w/Jerry?
7. Can you also send me an email detailing how Jerry conveys the information you provide to him to the rest of the staff? You may need to get this in writing from him.

That's it for now (long list, I know, but needed to make sure we're plugging all the holes).

Thanks again!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Pope, Christian Dean
Sent: Wednesday, October 18, 2006 1:35 PM
To: Brinegar, Jennifer L
Subject: Weekly Update

9/27/2007

This week I have edited and compiled the rules education materials to meet and have met with the equipment room staff to cover those as well as legislation pertinent and applicable to them. I have reviewed the September contacts logs for both the women's and men's basketball's staffs. I have interviewed Ree Matthews in regard to a herald times article indicting a questionable activity concerning football student athletes to determine that the activity is benign. I have met with Andrea Weintraub to conduct a swimming assistant coach orientation meeting. I have reviewed September cell phone bills for men's basketball staff against the Cybersports report for countable calls. I requested a meeting from the marketing and promotions staff as well as the business office staff to cover rules education and legislative materials with them respectively. I have begun to communicate with individual schools who are participating in the NIT to determine that they are all counting the event as their one qualifying multi-team event. I have spoken with Cybersports as well as Cyndi Grubb to schedule the women's basketball staff for Cybersports/cyber web training. I have met with the director of basketball operations to meet the requirement of the committee on infractions and have reviewed promotional activities policy and procedures with that staff. I continue to provide staff and coaches with daily interpretations. I continue also to approve promotional activities requests and will continue with development of rules education materials for further staff group meetings.

Christian D. Pope
Director of Compliance
Indiana University
1001 East 17th Street
Bloomington, IN 47408-1590
812-856-6074
Go Hoosiers!

36

Lollar, Danielle

From: Pope, Christian Dean [cdpope@indiana.edu]
Sent: Friday, September 15, 2006 7:51 AM
To: Brinegar, Jennifer Hooker
Subject: RE: Game Program Article and Weekly Update

*

I am trying to go through one coach each day and review one month going back to March. By the end of the month I hope to have covered all our assistants and coach Sampson up to this point. I have noticed that Ray is good about logging his calls but bad about getting the dates correct on the log so our Cybersports records are off in some instances b/c Beth inputs based on what the coaches give her. If we get a chance, lets sit and devise a better way for the coaches to have Beth input to Cybersports. My idea is possible to have the coaches take their monthly cell bills and highlight the countable calls from their handwritten logs and turn them into Beth simultaneously so she can input them once and not have to go back and make editions when inaccuracies are found. Let me know what you think. Thanks.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Friday, September 15, 2006 12:33 AM
To: Pope, Christian Dean
Subject: RE: Game Program Article and Weekly Update

Thanks!

Hopefully, you won't be bombarded w/interp requests while you are out of the office meeting with MBB and WBB tomorrow.

When will you be going out through the cell phone logs to confirm they are in compliance with the COI restrictions?

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Pope, Christian Dean
Sent: Wednesday, September 13, 2006 3:30 PM
To: Brinegar, Jennifer L
Subject: RE: Game Program Article and Weekly Update

Jennifer,

The game program looks great. The first bullet "*the heads*" kind of sounds peculiar; maybe "*members*" would be easier to follow. That's just my two cents. Thanks.

9/15/2008

Weekly report:

I am still processing compliance forms for student athletes and creating file folders for same. I am in constant communication with students who have delinquent information or have omitted a portion of a form prior to turning them in. I have met with Jerry Green concerning the COI and restriction issues and have informed him that basketball does indeed need to be the point on communication with event directors for Coach Sampson's speaking engagements regarding monitoring that no prospective aged students attend. I will conduct rules education on Friday with both basketball staffs to cover the hot topic information regarding fall contact periods as well as to cover the definition of what is considered "regularly scheduled." I have met with women's basketball concerning their request to conduct end of September tryouts to reinforce the process and what is required. I have begun approving camp requests for wrestling for the month of October. I have collected each sports team rosters and am cross checking those with the rosters we have to determine who has yet to complete compliance paperwork to effectively communicate with the coaches. I have updated the volunteer coaches and permissible recruiters listing. I am also reconciling the last f the camps and clinics reports to close the books on 2005-2006. I have reviewed men's basketball recruiting phone calls and have arranged through Adam Grant (Grant Communications) to gain access to the coaches' cell phone logs from their conception at IU to the present. Last, I have emailed John, Marsha & Carolyn regarding the most up to date Volunteer coaches listing for their records.

* [

Christian
 Director of Compliance
 Indiana University
 812-856-6074
 Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Wednesday, September 13, 2006 2:30 PM
To: House, Anitra L; Pope, Christian Dean; Arnold, Kathy L; Tatum, Linda J; Mooney, Marni J
Subject: Game Program Article and Weekly Update
Importance: High

Please review the game program article for the UCONN game and send me your weekly update by 10 tomorrow morning.

Jennifer Brinegar
 Assistant Athletics Director - Compliance
 Indiana University
 812-855-0451

Go Hoosiers!!!

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Lollar, Danielle

From: Brinegar, Jennifer Hooker [jhooker@indiana.edu]
Sent: Friday, May 26, 2006 12:24 PM
To: Greenspan, Richard I
Cc: Rohleder, Mary Ann; Calhoun, M. Grace
Subject: RE:

Rick,

This is definitely a high priority for my office. Prior to yesterday's report, I already had Christian documenting each week's meetings with the DOBO, as well as his monitoring efforts regarding the self-imposed sanctions. I will change the Compliance Agreement (signed by you, Kelvin and Bruce) to reflect the additional sanctions and I will develop specific guidelines, acceptable standards, follow-up, logs and reporting mechanisms as well. I have set aside next Tuesday morning to accomplish these tasks.

I just spoke with BJ and Coach Sampson and his staff would be available to meet with us at 2:00 p.m. on Tuesday afternoon. I checked with Terri and she said you were open and we could use your conference room. Around that meeting, we will need to have you and Kelvin sign off on the amended Compliance Agreement (I can get Bruce's signature later).

Would you like for me to contact the NCAA staff regarding clarification on the recruiting restrictions, or should I wait until after this preliminary meeting to see if our coaching staff has additional questions? Either way works for me. As for your question below, I don't think the intent of the sanction was to hurt IU, so I think it's pretty clear that 3 coaches can be out – just now Coach Sampson. But, I can certainly get that clarified if you think it's necessary.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Greenspan, Richard I
Sent: Friday, May 26, 2006 10:26 AM
To: Brinegar, Jennifer L
Cc: Rohleder, Mary Ann; Calhoun, M. Grace
Subject:

Jennifer,

It is very important that you develop specific guidelines, acceptable standards, follow-up, logs and reporting mechanisms in order for us to adequately fulfill the NCAA sanctions as they relate to Coach Sampson and Men's Basketball. We do not want to have any ambiguity as to his restrictions and responsibilities/capabilities. I would suggest a meeting with Coach and his entire staff as soon as he returns. It might be helpful to have me involved to reinforce the strong institutional commitment to doing this correctly. I believe there remain some areas of clarification that NCAA staffers will need to answer for us such as the number of coaches on the road at one time (I assume it is still 3 but not Sampson), etc.

Please make this a high priority for full and faithful completion.

THx,

RG

9/12/2008

Lollar, Danielle

From: Brinegar, Jennifer Hooker [jhooker@indiana.edu]
Sent: Wednesday, May 31, 2006 12:48 AM
To: Jaffee, Bruce L.
Subject: RE: Sampson Penalties
Attachments: Clarification Letter - Chair of COI 5-31-06.doc

If possible, can you review for revisions/edits before I send it to the NCAA to forward to the Chair? This was the procedure that Jim Elworth at the NCAA indicated in a voice mail he left for me yesterday (Tuesday) afternoon while I was in meetings for 4 ½ hours!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Jaffee, Bruce L.
Sent: Sunday, May 28, 2006 6:18 PM
To: Brinegar, Jennifer L.
Subject: Sampson Penalties

Jennifer,

I read the Infractions Committee report carefully. I have a couple of questions that relate to our monitoring and enforcement:

1. Exactly who can Coach Sampson call? He is restricted from "making any phone calls that relate in any way to recruiting or being present when members of his staff make such calls." The restriction certainly applies to prospects, their coaches, and their parents. I can't think of anyone whom he should be permitted to call that has a relationship to high school, JC, or AAU basketball. Can you? I think it violates the spirit of this restriction if the assistants make a recruiting call and tell the person to call Sampson at some specific time that Sampson has arranged.
2. What off campus appearances are okay? I suspect the Big Ten tip-off lunch is okay, but what about Varsity Club outings, or other places where prospects are not the focus but might attend?

A monitoring and rules education plan is due by August 1. I would be happy to discuss and review anything that you come up with. Needless to say, a regular monitoring to phone records is a must.

Bruce

Lollar, Danielle

From: Brinegar, Jennifer Hooker [jhooker@indiana.edu]
Sent: Friday, June 09, 2006 4:36 PM
To: Rohleder, Mary Ann
Subject: RE:

I just briefly touched on this with him today during his evaluation. We are scheduled to meet on Monday morning to review his meeting notes and monitoring efforts to date, as well as an agenda I have asked him to develop, discuss w/Jerry and report on each week (to ensure complete and total compliance with the COI assessed and adopted penalties). I also told him that I would be attending next week's meeting as well. Have a great weekend – I'll see you after your trip!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Rohleder, Mary Ann
Sent: Thursday, June 08, 2006 9:09 AM
To: Greenspan, Richard I; Brinegar, Jennifer L
Subject: RE:

Rick,

Jennifer is intending to meet with Christian tomorrow to discuss this and will assure that it is done with all due diligence.

Thanks,

MA

From: Greenspan, Richard I
Sent: Wednesday, June 07, 2006 5:55 PM
To: Rohleder, Mary Ann; Brinegar, Jennifer L
Subject:

Please insure that there is a formal agenda, notes for the record and an accounting of all the prescribed meetings between the Compliance staff and the basketball staff in regards to the NCAA sanctions. I will want to see these periodically and will assume they are being held, meetings recorded and proper implementation of guidelines consistent with sanctions and NCAA rules being adhered to. Obviously, this is important and must be followed with due diligence.

Thanks,

RG

9/12/2008

Lollar, Danielle

From: Brinegar, Jennifer Hooker [jhooker@indiana.edu]
Sent: Tuesday, June 27, 2006 1:24 PM
To: Pope, Christian Dean
Subject: RE: Telephone Call Monitoring

Thanks – numbers 3 through 8 are exactly what I needed.

Jennifer Brinegar
 Assistant Athletics Director - Compliance
 Indiana University
 812-855-0451

From: Pope, Christian Dean
Sent: Tuesday, June 27, 2006 12:25 PM
To: Brinegar, Jennifer L
Subject: RE: Telephone Call Monitoring

Jennifer,

I also omitted a monthly declaration that is collected. Thanks.

1. Weekly review with the Director of Basketball operations to review the COI sanctions to include the following.
 - a. Coach Sampson is precluded from making any recruiting phone calls to prospects, family of prospects, coaches or anyone directly or indirectly involved with a prospective aged student.
 - b. During the following time frame (June 15, 2006 of the prospect's sophomore year through July 31st of the prospect junior year in high school) IU's assistant coaches are allowed to make one call every other month as opposed to one call per month under NCAA Bylaw 13.1.3.1.2.
 - c. During the following time frame (August 1st prior to the commencement of the prospects senior year through July 31st, 2007) IU assistant coaches may call a prospect only once per week as opposed to two call per week under NCAA Bylaw 13.1.3.1.2.
 - d. The assistant coaches are allowed the exceptions under the following NCAA Bylaws exceptions include bylaw 13.1.3.3.2 (Official visit exception); 13.1.3.3.3 (Letter of Intent signing date exception); and 13.1.3.3.4 (Telephone calls subsequent to the national letter or intent signing or other written commitment)
2. Weekly review of the IU adoption of sanctions from the COI to include the following.
 - a. Weekly meetings conducted by the director of compliance to review any and all recruiting issues with the basketball director of operations.
 - b. Review of the written commitment made by IU regarding the COI sanctions and the August 1st time frame.
3. Weekly collection of written phone logs from each assistant coach.
4. Collect monthly declarations from all coaches indicating whether or not their home phone is utilized for recruiting calls.

9/12/2008

5. Review and cross check of those written logs against the reports generated by the Cybersports software.
6. Review and cross check cell and university phone records against those supplied by the coaching staff as well as any recruit phone numbers already established on the database file.
7. Review and cross check of the written records/ Cybersports reports against other assistant coaches on the staff.
8. Any discrepancies are promptly communicated with the DOBO and the individual coach to rectify any recorded issues or clarifications.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 12:14 PM
To: Pope, Christian Dean
Subject: RE: Telephone Call Monitoring

Can you add in how you will be monitoring (cross checking) the phone logs against the actual phone records?
Thanks.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Pope, Christian Dean
Sent: Tuesday, June 27, 2006 12:13 PM
To: Brinegar, Jennifer L
Subject: RE: Telephone Call Monitoring

Jennifer,

My procedures are as follows:

9. Weekly review with the Director of Basketball operations to review the COI sanctions to include the following.
 - a. Coach Sampson is precluded from making any recruiting phone calls to prospects, family of prospects, coaches or anyone directly or indirectly involved with a prospective aged student.
 - b. During the following time frame (June 15, 2006 of the prospect's sophomore year through July 31st of the prospect junior year in high school) IU's assistant coaches are allowed to make one call every other month as opposed to one call per month under NCAA Bylaw 13.1.3.1.2.
 - c. During the following time frame (August 1st prior to the commencement of the prospects senior year through July 31st, 2007) IU assistant coaches may call a prospect only once per week as opposed to two call per week under NCAA Bylaw 13.1.3.1.2.

- d. The assistant coaches are allowed the exceptions under the following NCAA Bylaws exceptions include bylaw 13.1.3.3.2 (Official visit exception); 13.1.3.3.3 (Letter of Intent signing date exception); and 13.1.3.3.4 (Telephone calls subsequent to the national letter or intent signing or other written commitment)
10. Weekly review of the IU adoption of sanctions from the COI to include the following.
 - a. Weekly meetings conducted by the director of compliance to review any and all recruiting issues with the basketball director of operations.
 - b. Review of the written commitment made by IU regarding the COI sanctions and the August 1st time frame.
11. Weekly collection of written phone logs from each assistant coach.
12. Review and cross check of those written logs against the reports generated by the Cybersports software.
13. Review and cross check of the written records/ Cybersports reports against other assistant coaches on the staff.
14. Any discrepancies are promptly communicated with the DOBO and the individual coach to rectify any recorded issues or clarifications.

Thanks.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 11:44 AM
To: Pope, Christian Dean
Subject: Telephone Call Monitoring
Importance: High

Christian,

To make sure everyone is on the same page, could you please provide me (in writing) your procedures for ensuring compliance with the telephone call rules (and specifically the COI restrictions regarding those rules)? I would like to have those written procedures for my review by 2:00 p.m. this afternoon.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Lollar, Danielle

From: Pope, Christian Dean [cdpope@indiana.edu]
Sent: Thursday, July 20, 2006 11:10 AM
To: Brinegar, Jennifer Hooker
Subject: RE: Send Jennifer a Weekly Reminder

I had been preparing it last night.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Thursday, July 20, 2006 11:08 AM
To: Pope, Christian Dean
Subject: RE: Send Jennifer a Weekly Reminder

That was quick - thanks!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

-----Original Appointment-----

From: Pope, Christian Dean
Sent: Thursday, July 20, 2006 11:07 AM
To: Brinegar, Jennifer L
Subject: Accepted: Send Jennifer a Weekly Reminder
When: Occurs every Thursday effective 7/20/2006 from 11:00 AM to 11:30 AM (GMT-05:00) Eastern Time (US & Canada).
Where:

This week I have begun the organization of compliance documents for fall compliance meetings with each team. I have completed the 06-07 editions of playing & practice season's documentation for the fall compliance meetings as well as coaches' usage. I have run the first draft of the fall rosters of student athletes for 06-07 and will be working with Anitra to round out the returnees listing. I have inquired from Anitra a listing of all minors who are incoming students to process the IU drug testing consent form as well as the NCAA documentation. I continue to be involved with the interview process for filling Chips vacancy and completed all evaluation forms relevant to that on Wednesday. I have conducted the weekly meeting with the director of basketball operations and continue to review phone calls and cross check logs with handwritten notes against the listing produced by Cybersports. I have written the draft of the preliminary report for the committee on infractions and have produced one edition to that draft. I have begun July end of the year recruiting logs reviews and will need some guidance from you about the process of what is done with the logs as some coaches are of the belief that they will not get these back. I also continue to provide rules interpretations regularly to staff and coaches.

9/12/2008

McCaw, Carrie

From: Pope, Christian Dean [cdpope@indiana.edu]
Sent: Monday, August 21, 2006 1:39 PM
To: Brinegar, Jennifer Hooker
Subject: RE: Weekly Update

Jennifer,

Understood. We will take the transition for processing official visits very slowly.

On the basketball review, the monitoring is very slow regarding phone calls. I have found no discrepancies to date. FYI- The review of phone records is based upon the phone numbers for each prospect that the coaches have input to the Cybersports database.

- Step 1: I review the handwritten records to verify accuracy with the Cybersports report.
- Step 2: I cross check the Cybersports record against the cell records.
- Step 3: I cross check the cell records with the handwritten records.
- Step 4: Once I go through the records for the recruiting coach for a specific prospect to verify their handwritten records, I then begin to cross check the cell records with other recruiting coaches to verify no additional phone calls were made by any other coach on staff to the individual PSA.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Monday, August 21, 2006 9:09 AM
To: Pope, Christian Dean
Subject: RE: Weekly Update

Christian,

I would prefer that the transition for official visits occur over all of first semester, rather than just the first few official visits. She doesn't have the rules down yet, so she wouldn't know how to look for potential issues. You will need to train her all semester before she handles it by herself - at least the back end. She probably can handle the front end after the first few weekends in September.

How did the review of MBB telephone records go this weekend? Let me know exactly how you went about monitoring the phone records, how long you spent on it, and if you found any discrepancies that you are following up on.

Thanks,

Jennifer

9/3/2008

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Pope, Christian Dean
Sent: Monday, August 21, 2006 7:54 AM
To: Brinegar, Jennifer L
Subject: RE: Weekly Update

Jennifer,

I have met with Marni to begin training on the official visit process and procedures. We will conduct the first few together to complete her phasing into that duty. I continue to provide rules

McCaw, Carrie

From: Pope, Christian Dean [cdpope@indiana.edu]
Sent: Friday, September 15, 2006 7:51 AM
To: Brinegar, Jennifer Hooker
Subject: RE: Game Program Article and Weekly Update

I am trying to go through one coach each day and review one month going back to March. By the end of the month I hope to have covered all our assistants and coach Sampson up to this point. I have noticed that Ray is good about logging his calls but bad about getting the dates correct on the log so our Cybersports records are off in some instances b/c Beth inputs based on what the coaches give her. If we get a chance, lets sit and devise a better way for the coaches to have Beth input to Cybersports. My idea is possible to have the coaches take their monthly cell bills and highlight the countable calls from their handwritten logs and turn them into Beth simultaneously so she can input them once and not have to go back and make editions when inaccuracies are found. Let me know what you think. Thanks.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Friday, September 15, 2006 12:33 AM
To: Pope, Christian Dean
Subject: RE: Game Program Article and Weekly Update

Thanks!

Hopefully, you won't be bombarded w/interp requests while you are out of the office meeting with MBB and WBB tomorrow.

When will you be going out through the cell phone logs to confirm they are in compliance with the COI restrictions?

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Pope, Christian Dean
Sent: Wednesday, September 13, 2006 3:30 PM
To: Brinegar, Jennifer L
Subject: RE: Game Program Article and Weekly Update

Jennifer,

9/12/2008

The game program looks great. The first bullet "*the heads*" kind of sounds peculiar; maybe "*members*" would be easier to follow. That's just my two cents. Thanks.

Weekly report: I am still processing compliance forms for student athletes and creating file folders for same. I am in constant communication with students who have delinquent information or have omitted a portion of a form prior to turning them in. I have met with Jerry Green concerning the COI and restriction issues and have informed him that basketball does indeed need to be the point on communication with event directors for Coach Sampson's speaking engagements regarding monitoring that no prospective aged students attend. I will conduct rules education on Friday with both basketball staffs to cover the hot topic information regarding fall contact periods as well as to cover the definition of what is considered "regularly scheduled." I have met with women's basketball concerning their request to conduct end of September tryouts to reinforce the process and what is required. I have begun approving camp requests for wrestling for the month of October. I have collected each sports team rosters and am cross checking those with the rosters we have to determine who has yet to complete compliance paperwork to effectively communicate with the coaches. I have updated the volunteer coaches and permissible recruiters listing. I am also reconciling the last of the camps and clinics reports to close the books on 2005-2006. I have reviewed men's basketball recruiting phone calls and have arranged through Adam Grant (Grant Communications) to gain access to the coaches' cell phone logs from their conception at IU to the present. Last, I have emailed John, Marsha & Carolyn regarding the most up to date Volunteer coaches listing for their records.

Christian
 Director of Compliance
 Indiana University
 812-856-6074
 Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Wednesday, September 13, 2006 2:30 PM
To: House, Anitra L; Pope, Christian Dean; Arnold, Kathy L; Tatum, Linda J; Mooney, Marni J
Subject: Game Program Article and Weekly Update
Importance: High

Please review the game program article for the UCONN game and send me your weekly update by 10 tomorrow morning.

Jennifer Brinegar
 Assistant Athletics Director - Compliance
 Indiana University
 812-855-0451

Go Hoosiers!!!

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Lollar, Danielle

From: Harris, Robin Green
Sent: Monday, September 15, 2008 5:59 PM
To: Lollar, Danielle
Cc: McCaw, Carrie
Subject: FW: Letter of Reference
Attachments: September 15.docx

From: Calhoun, M. Grace [mailto:gcalhoun@indiana.edu]
Sent: Monday, September 15, 2008 4:37 PM
To: Harris, Robin Green
Subject: FW: Letter of Reference

Letter from Meyer attached.

M. Grace Calhoun, MBA, Ph.D.
Associate Athletic Director/Senior Woman Administrator
Indiana University Dept. of Intercollegiate Athletics
office phone 812/855-0876

From: Jeff Meyer [mailto:meyerjeff08@gmail.com]
Sent: Monday, September 15, 2008 5:30 PM
To: Calhoun, M. Grace
Subject: Letter of Reference

Grace:
Here is a letter that you may find useful in your response to the Committee on Infractions.

Best Wishes
Jeff Meyer

September 15, 2008

NCAA Committee on Infractions
P.O. Box 6222
Indianapolis, Indiana 46206

Dear Members of the Committee on Infractions:

Indiana University asked me to submit a reference letter that may provide you insight and pertinent information re: the institutional oversight of the men's basketball program at IU starting May 2006 thru July 2007.

Rarely did a day go by without some form of contact from the compliance staff and/or the athletics administration with discussions regarding the sanctions related issues. Communication took the form of emails, phone calls, however there were also regular in person contact meetings and dialogue.

I shared an office with Jerry Green, then Director of Basketball Operations and witnessed many of the weekly meetings and the dialogue about the completion of mandatory forms as well as a written overview of the sanctions particularly the limits on recruiting phone calls and the number of allowable official visits. There were also a number of times Christian Pope, then Director of Compliance, or his successor, Ian Rickaby would make impromptu visits to check that proper protocols were being followed, or to follow up with forms that needed to be submitted for their reviewing purposes.

Additionally I recall athletic administrators (particularly Grace Calhoun and Rick Greenspan) personally visiting the basketball office, coming down to courtside during practice, or stopping in the hallway to inquire about the importance of completing mandatory forms and emphasizing to the coaching staff our responsibilities to comply with the imposed sanctions by letter and in spirit.

In summary, based on years of experience and work done with several NCAA institutions I thought Indiana worked diligently to monitor our compliance efforts given the imposed NCAA sanctions. I did not question the commitment to compliance oversight in the face of these sanctions by the IU athletics administration or the seriousness of the matter at hand.

Finally, know that I continue to value the work the Committee on Infractions has done and continues to do on this case. Please contact me if I can further assist your efforts.

Most Sincerely,

Jeff Meyer

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Excerpt from Indiana University Compliance Manual

2.9 Policy on Violations of NCAA Rules

The NCAA Constitution requires an institution, as an obligation of membership, to monitor its athletics program to assure compliance with NCAA rules and to identify and report to the NCAA instances in which compliance has not been achieved.

It is Indiana University's policy that **all personnel report any and all situations in which the University is not in compliance with NCAA rules, whether major or minor in scope, immediately** upon learning that such a violation may have/has occurred. These reports are to be made to the athletics director and/or assistant athletics director/compliance (at the discretion of the reporter).

All confirmed rules violations are self-reported by Indiana University to the Big Ten Conference (if the violation is on the NCAA Penalty Schedule) and/or the NCAA.

Once a possible rules violation has been reported to the athletics director and/or the assistant athletics director/compliance, the following procedure is followed:

1. The athletics director and assistant athletics director/compliance notify each other of all reports made. The faculty athletics representative is also notified.
2. If necessary in the case of a secondary violation and in all cases involving a potentially major rules infraction, the faculty athletics representative will determine the scope of the inquiry, who will lead the investigation and whether to involve University Counsel and/or outside legal counsel in the investigation.
3. In most cases, the assistant athletics director/compliance will lead the investigation. In the case of a serious violation, the faculty athletics representative will lead the investigation or turn it over to University Counsel or outside legal counsel if necessary.
4. The report is analyzed, data is gathered, and specifics are verified by the athletics director, assistant athletics director/compliance and faculty athletics representative to determine if a violation did occur. If there is still some question as to whether a violation occurred, the faculty athletics representative or assistant athletics director/compliance may call the Big Ten Conference office or the NCAA for assistance.
5. If it is determined that a violation did occur, the athletics director, assistant athletics director/compliance, and faculty athletics representative will review and discuss the violation and determine what, if any, corrective action will be taken. Also at this time, the president may be notified if the athletics director or faculty athletics representative deems it necessary (in the case of a serious violation).

6. The violation report is prepared by the assistant athletics director/compliance and is signed by the athletics director and faculty athletics representative and is then submitted to the Big Ten Conference and/or the NCAA. The self-report will provide at least the following information:
 - a. The date and location of the violation;
 - b. A description of the violation, including the rule citation;
 - c. The identity of the coach(es), prospective and/or enrolled student-athlete(s), and any other individual(s) involved in the violation;
 - d. The reason(s) the violation occurred;
 - e. The means by which Indiana University became aware of the violation;
 - f. A list of corrective and disciplinary actions taken by Indiana University and conference (if any);
 - g. Our position regarding the information (it is important to note that if an institution disagrees that the facts result in a violation, the stipulated facts first would be referred to the NCAA membership services staff for a determination of the application of NCAA legislation);
 - h. A statement indicating whether any eligibility issues need to be resolved and, if so, whether Indiana University is requesting restoration of eligibility for any prospective or enrolled student-athlete; and
 - i. Any other information that should be considered in reviewing the case.

If the self-reported secondary violation affects the eligibility of a current prospective or enrolled student-athlete and the institution is requesting restoration of eligibility, the case should be forwarded to the NCAA director of student-athlete reinstatement, for handling. If the secondary violation does not involve any remaining eligibility issues, the case should be forwarded to the NCAA director of secondary enforcement.

If reinstatement of eligibility is requested, the NCAA reinstatement staff and/or committee reviews the case. If an appeal is necessary of a reinstatement decision, the assistant athletics director/compliance oversees preparation of and files the appeal.

Once all pertinent information is received, secondary cases are reviewed by the enforcement staff under the provisions of NCAA Bylaw 32.4. The NCAA Committee on Infractions has given authority to the enforcement staff to act on cases in which case precedent has been established through the review of previous similar cases. All decisions are communicated to the institution in writing.

Under Bylaw 19.6.1, Indiana University has an opportunity to appeal the decision to the full Committee on Infractions. That appeal may occur through written correspondence, telephone conference, or an appearance before the committee. Once the appeal is considered by the full committee, Indiana University will be advised of the action in writing.

7. The following persons are copied on all self-reports made to the Big Ten Conference/NCAA: President, athletics director, faculty athletics representative, involved coach(es)/department staff members, appropriate sport administrator and the Big Ten Conference office.
8. The assistant athletics director/compliance provides the president, athletics director, and faculty athletics representative with a quarterly written summary of all self-reported violations and the subsequent Big Ten Conference and/or NCAA responses/actions.
9. The assistant athletics director for sports information will be responsible for responding to media inquiries and releasing information regarding internal investigations.

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SELF-IMPOSED PENALTIES
(as contained in the University's May 8 Response)

The University remains troubled by the fact that the impermissible calls detailed in this response occurred and that they happened during a time when the men's basketball program, particularly the coaching staff, should have had a heightened awareness of and commitment to total compliance with NCAA rules and sanctions. Instead, in addition to the occurrence of impermissible three-way recruiting calls initiated by a then assistant coach and involving Sampson, the University's then assistant men's basketball coaches made recruiting calls from home, despite reporting on monthly forms that home phones were not used for recruiting. The fact these calls were then not reported and were not able to be monitored or reviewed by the compliance office caused concern as well. The University was also disappointed in the information discovered during its investigation and finds the new information revealed during the Enforcement Staff's investigation at least as troubling, including the additional impermissible calls and the unethical conduct.

It must be noted, however, of the 126 phone calls contrary to the sanctions, 59% (75 calls) were one or two minutes in duration.¹ Further, almost 80% (99 calls) were less than ten minutes and only five calls (4%) lasted more than 20 minutes.² Similarly, with the calls that resulted in NCAA violations, approximately 57% lasted one or two minutes, 86% were ten minutes or less and there were no calls longer than 20 minutes. In addition, as noted above, the University took a very conservative and strict approach to identify all potentially impermissible phone calls and include them in the determination of the appropriate sanctions. This methodology resulted in a multiplier effect as, for example, one phone call placed in an "off month" would then cause many previously permissible phone calls in the next month (including attempts to contact a prospect as well as the actual phone conversation) to become impermissible. The University recognized that this approach would increase the number of calls deemed contrary to the sanctions, but determined it was important to identify and present the maximum scope of the issues.

In light of the actions of the men's basketball coaches and the calls that were contrary to the sanctions and to NCAA rules, the University determined in mid-September 2007 that significant additional sanctions were necessary. These penalties were designed to directly impact the coaches involved as well as the men's basketball program as a whole. Following the receipt of the February 8, 2008 Notice of Allegations, the University carefully reassessed the penalties in light of the new information that had been developed during the NCAA Enforcement Staff's investigation. The University determined the penalties that were initially imposed were sufficient to respond to the violations that had occurred, even with the new information and violations. For example, Penalty 1-c below, which reduces by half the number of permissible calls to prospects during their senior year of high school, results in a reduction of 700 calling opportunities plus an additional reduction of 350 calls for Sampson and the subsequent head coaches.

Further, the University left its original penalties largely intact despite the complete turnover in the coaching staff. When Senderoff resigned on October 29, 2007, the University transferred Penalty 1-a to another assistant coach. Similarly, when Sampson resigned on February 22, 2008, the subsequent two

¹ As noted above, these one or two minute calls, although impermissible, did not likely result in any substantive conversation, particularly given the fact that cell phone companies begin counting minutes while the phone is still ringing and round-up calls to the next minute (e.g., a one minute, ten second call counts as two minutes).

² Seven calls were for an unknown duration.

head coaches operated subject to Penalties 1-c and 1-d. All of the penalties regarding phone calls have remained unchanged.

In April 2008, however, the University determined that it was necessary and appropriate to adjust two of its initial penalties, which, because of the coaching staff changes, were going to have far greater detrimental and harmful impacts than were anticipated upon their imposition last fall. The University thus made the modifications indicated below to Penalty 1-f that limited the off-campus recruiting days for Sampson and to Penalty 1-g that reduced the number of permissible official visits. It should be noted these penalties were modified and not withdrawn; thus, penalties in these areas remain. In addition, the University has added an additional penalty that reduces by two the number of recruiting days in July 2008 – one day during each evaluation segment – where none of the men’s basketball coaches will be allowed to recruit off campus.

The University believes, although these limited adjustments provide necessary relief for the current men’s basketball coaching staff, all of whom were completely uninvolved in the violations, they do not alter the strength of the initial penalties in any material way, particularly since the phone call restrictions remain intact. In addition, the four off-campus recruiting days used by the interim head coach had no impact and brought no benefit to the men’s basketball program or the University. Further, in large part because the University carefully evaluated the need for these modifications, the men’s basketball coaching staff missed 22 days of off-campus recruiting and the head coach was not able to recruit off-campus for 14 permissible recruiting days (i.e., this number does not include the dead periods), until April 26.

Indiana University took and continues to take this matter very seriously and understands the ban on Sampson making recruiting calls was intended to limit his and the basketball program’s ability to recruit prospects, as were the other sanctions reducing the number of permissible calls. Accordingly, Indiana University has designed the following sanctions to address any impact from the impermissible calls and to send a strong message that complete commitment to NCAA compliance continues to be expected and required of all coaches and staff.

1. Self-Imposed Sanctions

- a. The University, effective September 17, 2007, reduced the number of coaches allowed to be involved in recruiting by one through July 31, 2008. Specifically, Senderoff was prohibited from: (i) making ANY phone calls that relate in any way to recruiting (whether or not they are countable under NCAA rules); and (ii) engaging in any off-campus recruiting activities. Upon Senderoff’s resignation, another assistant coach is serving the remainder of this sanction.
- b. The University will reduce by one the number of men’s basketball financial aid awards for the 2008-09 academic year.
- c. The University will reduce the number of permissible calls to prospects on or after August 1 of their senior year in high school from two calls per week to one call per week from September 17, 2007, through the end of the regular National Letter of Intent signing period (May 21, 2008). Further, Sampson and the subsequent head coaches were only eligible to make every other one of these phone calls.

[Note: With approximately 20 senior recruits and a 35-week period, this sanction reduces calling opportunities by approximately 700 phone calls, including an additional reduction of 350 calls for Sampson and the subsequent head coaches].

- d. The University will reduce the number of phone calls Sampson and the subsequent head coaches are permitted to make to junior prospective student-athletes to every other one of the monthly calls to junior prospects beginning September 17, 2007, and ending July 31, 2008.

[Note: With approximately 177 junior recruits and a 10-month period, this sanction reduces Sampson's and the subsequent head coaches' calling opportunities by approximately 885 phone calls.]

- e. The University limited Sampson to four (4) off-campus recruiting contact days during the fall 2007 contact period.
- f. The University limited Sampson to no more than ten (10) additional off-campus recruiting days to be used from the conclusion of the fall contact period (October 5, 2007) through July 31, 2008.

[Note: Sampson's typical practice was to divide the off-campus recruiting person-days by the four coaches. Therefore, since there are 130 recruiting person-days during the academic year and 20 person-days by three coaches during the July recruiting period, his off-campus recruiting days were reduced from approximately $48 (130 \div (20 \times 3) = 190 \div 4 \text{ coaches} = 48)$ to 14, a 71% reduction.]

April 2008 Revision. Because the ten off-campus recruiting days allotted to Sampson in this penalty were all used (six by Sampson and four by the interim head coach, Dan Dakich) prior to the hiring of Tom Crean, the current head men's basketball coach, the University determined that he should be allowed 10 off-campus recruiting days through July 31, 2008. Limiting the current head coach to 10 off-campus recruiting days through July 31, 2008 is still a penalty as the number of days a head coach may recruit off-campus is not normally limited other than the 130 person-day-limit for off-campus recruiting. The University imposed this revised penalty even though it could have taken the position that the initial penalty was intended for Sampson personally and not for the position of head men's basketball coach.

- g. The University will reduce the number of permissible official paid visits from 12 to six (6) during the 2007-08 academic year.

April 2008 Revision. Because all six of the official visits permitted under this penalty were used by the prior men's basketball coaching staffs, the University decided to allow the current men's basketball coaching staff to award two additional official visits for the remainder of the current academic year.³ This

³ This increase from six to eight official visits is consistent with the waiver available under NCAA Bylaw 13.6.2.7 that allows institutions to provide additional official visits after a new head coach is hired if the prior coach has used 75% or more of the permitted official visits.

modified limit of eight official visits is still below the NCAA limit of 12 and the University's four-year average of 9.25.

- h. Indiana University will submit a report to the Committee on Infractions by September 30, 2008, documenting the University's compliance with these additional sanctions.
- i. Additional self-imposed penalty. The University will reduce by two the number of recruiting days allowed in July 2008 under NCAA Bylaw 30.10.1-(g) and (i), with one evaluation day being reduced in each evaluation segment. None of the men's basketball coaches will be allowed to recruit off campus on those two days.
- j. In response to the impermissible contact with a prospect, as set forth above in the response to Allegation No. 5-a, the University will limit the men's basketball staff to six recruiting opportunities for the prospect during his junior year and six during his senior year, instead of the permissible seven each year. In addition, the number of off-campus contacts allowed during his senior year will be reduced from three to two.

2. Corrective Actions

- a. Sampson voluntarily agreed in September 2007 to forego, over the next twelve-month period, his scheduled \$500,000 raise for this current contract year.
- b. Senderoff and Meyer were not entitled to any bonuses for the 2007-08 academic year and would not have been entitled to any salary increases for the 2008-09 academic year had they remained employed at the University.
- c. Letters of reprimand were issued to Sampson and Meyer on November 1, 2007. (See Attachment 15.) [Note: Senderoff's letter of reprimand was never issued due to his resignation on October 29, 2007.]
- d. A letter was included in the personnel file for McCallum on November 1, 2007.
- e. Had he remained employed at the University, Senderoff would have been required to sign a form each month reminding him of these corrective actions and sanctions, and would have been required to submit his monthly home phone records for review, in addition to his cell and office phone records.
- f. The compliance office will conduct mandatory compliance meetings every other week for the full men's basketball coaching staff (i.e., head coach, assistant coaches, and director of basketball operations) for one year beginning September 17, 2007.
- g. Had they remained employed at the University, Sampson and Senderoff would have been required to attend at their own expense the same 2008 NCAA Regional Rules Seminar as a member of the University's compliance staff. Meyer and McCallum would also have been required to attend the seminar had they remained employed.

- h. The University ceased the recruitment of prospective student-athlete Jonathon "Bud" Mackey, the subject of the majority (22) of the NCAA violations.
- i. In response to the impermissible contact with a prospect, as set forth above in the response to Allegation No. 5-a, the University discussed the relevant legislation with Meyer in September 2007 and with Sampson in October 2007. The legislation was also reviewed with all of the then men's basketball staff on October 23, 2007.

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Ga. Tech coach livens up Knight Commission meeting

June 17, 2008

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WASHINGTON (AP) - Georgia Tech basketball coach Paul Hewitt turned a tedious discussion of numbers into something lively.

Amid questions and answers about grade point averages, graduation rates, surveys and APRs on Tuesday morning during a routine Knight Commission meeting, Hewitt turned on his microphone and chided the reform-minded panel for making "incomplete conclusions" and taking "a fly-over view of the supposed carnage that is college basketball."

"While I like to see everyone who reaches college earn a degree," Hewitt said, "we need to find more effective ways to achieve our goals."

Hewitt spoke during a discussion meant to highlight the progress of the NCAA's new rating system that penalizes teams for failing to meet academic standards. The latest APR - or Academic Progress Report - led to 218 teams from 123 schools receiving some sort of penalty when it was released last month. APR scores have been on the rise since the standard was introduced four years ago, heartening news for the independent Knight Commission, which has been pushing for academic reform in athletics for nearly 20 years.

Hewitt doesn't mind the APR per se, but he sees it as only part of the solution.

"I do have a problem with putting numbers out there, saying 'Meet these numbers or else,'" Hewitt said. "You're turning education into a race."

A race, he contended, that might be tempting coaches to deter athletes from taking more difficult courses that could lead to lower grades and loss of eligibility. He said that very topic was discussed among Atlantic Coast Conference coaches after the APR was first implemented.

"If a kid wants to major in engineering, I'm not going to tell him not to major in engineering - but I'm going to counsel him before he takes that first class," said Hewitt, although he later added that he's yet to have such a conversation with any of his players.

Hewitt, a member of the NCAA Men's Basketball Academic Enhancement Working Group, offered a range of ideas. He said he'd like to see basketball become a one-semester sport and that coaches overall would like to see a shorter schedule, but he admitted it's "not going to happen" because of the lucrative television money that comes from playing more games, even in early November.

Hewitt took shots at the NBA players' union, saying the virtual free reign that agents have on campus has turned the sport into the "wild, wild west." He noted that the NFL players' union is more proactive about disciplining agents who misbehave. Hewitt would like to see coaches be granted more access to players during the summer months to counter the influence of the agents.

The players' union disputed Hewitt's comments.

"Despite Coach Hewitt's opinion, it is not up to the NBPA to police what occurs on college campuses," spokesman Dan Wasserman said, "although we are in the midst of an extensive investigation regarding recent allegations of agent misconduct."

Wasserman spoke from the NBPA's camp for elite high school players, which opened Tuesday in Charlottesville, Va.

"Unlike other camps we won't allow any agents, runners or any college coaches to attend because it would distract from our curriculum and message for the kids," Wasserman said. "Other groups may talk about plans for the education and personal development of elite players; we've made it the centerpiece of our camp for over 15 years."

Back at the Knight Commission meeting, Hewitt pulled out his own set of statistics, based on federal graduation rates and supplied by the NCAA. His chart noted that white male basketball and football players - despite scholarships and access to tutors and other perks received as athletes - have a graduation rate lower than that of the white male general student body.

Conversely, black football and basketball players and women's basketball players graduate at a pace ahead of the general student body for their respective groups.

Hewitt said those numbers - particularly regarding blacks - were a result of successful mentoring programs for athletes, programs that should be encouraged at more schools.

"I'm getting tired of coaches getting beat up," Hewitt said, "when I think we are doing a very responsible job."

Hewitt's also not a fan of the "one-and-done" rule that forces NBA aspirants to attend at least one year of college before becoming draft eligible. Hewitt likes the baseball model: High school students can declare for the draft, but they have to stay in school for three years if they go the college route.

That prompted a spirited exchange with Knight Commission member and ESPN analyst Len Elmore, who would like to see no basketball player become draft eligible until three years of college. Elmore later said LeBron James' lack of college experience explains why the Cleveland Cavaliers star has "no mid-range game."

For the most part, however, the commission members saved their questions for the numbers people. Of notable interest was a survey produced by Illinois State University assistant professor Chad McEvoy, who looked at the effect of NCAA sanctions on winning percentages in college football.

McEvoy looked at teams' records five years before and five years after receiving a sanction. His conclusion: There was no overall significant difference in won-loss records - even following major sanctions.

No one disputed McEvoy's findings, but Gene Marsh of the NCAA Committee on Infractions said sanctions aren't meant to cripple a team.

"I question the premise that what we do is hand down penalties that are intended to make teams weaker competitively," Marsh said. "Everything that we do ought to be focused on making the institutions better."

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